## JC10 Rec'd PCT/PTO 09,

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patentand TrademarkOffice; U.S. DEPARTMENTOF COMMERCE Under the Paperwork Reduction Act of 1,995, no persons are required to respond to a collection of information unless it displays a valid OMB control number TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) vn, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** Unknown INTERNATIONALAPPLICATIONNO. PCT/IL2003/001040 INTERNATIONALFILINGDATE PRIORITYDATE 9 December 2002 9 December 2003 TITLE OF INVENTION NK CELL RECEPTOR CONJUGATES FOR TREATING MALIGNANCIES APPLICANT(S)FOR DO/EO/US MANDELBOIM et al. Applicantherewith submits to the United States Designated/ElectedOffice (DO/EO/US) the following items and other information: 1. Marchine This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECONDor SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 3. □ 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. \( \times \) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c.  $\Box$  is not required, as the applicationwas filed in the United States Receiving Office (RO/US). 6. 

An Englishlanguagetranslation of the International Application as filed (35 U.S.C. a. 

 is attachedhereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attachedhereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s)(35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concerndocument(s)or information 11. An InformationDisclosureStatementunder37 CFR 1.97 and 1.98. 12. An assignmentdocumentfor recording. A separatecover sheet in compliancewith 37 CFR 3.28 and 3.31 is included. 13. 🛛 A preliminaryamendment. 14. 

An applicationData Sheet under 37 CFR 1.76. 15. A substitutespecification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2 and 35 U.S.C. 1.821 - 1.825.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.DO NOT SEND FES OR COMPLETED FORMSTO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.DO VA 22313-1450.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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Other items or information:

JC20 Rec'd PCT/PTO 0 9 JUNE 2005 (Rev. 02-2005)

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LLS Patentand Trademark Office LLS DEPARTMENTO COMMERCIAL STATEMENTO COMPETENTO COMPETENTO

U.S. Patentand TrademarkOffice; U.S. DEPARTMENTOF COMMERCE Under the PaperworkReductionAct of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATIONNO. Lif known, see 27 CFR INTERNATIONALAPPLICATIONNO. ATTORNEY'SDOCKETNUMBER PCT/IL2003/001040 2488.019 The following fees are submitted: CALCULATIONS **PTO USE ONLY** 21. 🛭 Basicnationalfee..... \$ \$300.00 \$200.00 All other situations..... 23. Searchfee Searchfee (37 CFR 1.445(a)(2))has been paid on the international application to the USPTOas an International Searching Authority... . \$100 InternationalSearch Report prepared and provided to the Office....... \$400 \$ All other situations..... \$500 \$100.00 TOTAL OF 21, 22 and 23 = \$600.00 Additionalfee for specificationand drawingsfiled in paper over 100 sheets (excluding sequencelisting or computerprogram listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or RATE fraction thereof (round up to a whole 66 - 100 = /50 =\$ \$250.00 \$0.00 Surchargeof \$130.00 for furnishing the oath or declaration later than 30 \$ months from the earliest claimed priority date (37 CFR 1.492(h)). NUMBER FILED **NUMBER EXTRA RATE** Total claims - 20 = 24 X \$50.00 \$1,200.00 Independentclaims - 3= 6 \$200.00 \$ \$1,200.00 х Multiple Dependent Claims (if applicable)  $\Box$ \$360.00 \$ \$ \$3,000.00 **TOTAL OF ABOVE CALCULATIONS** Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ \$1,500.00 reduced by 1/2. \$1,500.00 SUBTOTAL \$ Processingfee of \$130.00 for furnishingthe Englishtranslation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$ \$ = \$1,500.00 TOTAL NATIONAL FEE Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate coversheet (37 CFR 3.28, 3.31). \$40.00 per \$ TOTAL FEES ENCLOSED = \$1,500.00 \$ Amount to be refunded: \$ Amount to be \$ charged: a. 🛛 A check in the amount of \$1,500.00 to cover the above fees is enclosed. b. Please charge my Deposit Account in the amount of to coverthe above fees. A duplicate copy of this sheet is enclosed. c. 🗵 The Commissioneris hereby authorized to charge any additional fees which may be required, or credit any overpayment to DepositAccount 08-1935 . A duplicatecopy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card informations hould not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Kathy Smith Dias, Esq. Heslin Rothenberg Farley & Mesiti P.C. 5 Columbia Circle Albany, New York 12203 Telephone: (518) 452-5600 Kathy Smith Dias Facsimile: (518) 452-5579 NAME Dated: June 9, 2005 41,707 REGISTRATION NUMBER

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Applicants: MANDELBOIM et al.

Title: NK CELL RECEPTOR CONJUGATES FOR TREATING MALIGNANCIES

Attorney Docket No.: 2248.019

"EXPRESS MAIL" Mailing Label No.: EV 669214523 US

Date of Deposit: June 9, 2005

## Enclosed are:

> Express Mail Certificate - Label No.: EV 669214523 US

- > One (1) Return Receipt Postcard
- > Check in the amount of \$1,500.00 (Filing Fee) (Small Entity)
- > Transmittal Letter under 35 U.S.C. 371 (2 pps)
- > Copy of International Application as filed (66 pps, including 9 sheets of drawings and sequence listing (16 pps.))
- > Preliminary Amendment (11 pps) and an Abstract of the Disclosure (one page)
- > Application Data Sheet (2 pps)

## 37 CFR 1.10 Certification

I hereby certify that this paper and the indicated enclosures are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to:

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Darlene E. Novak

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)